



**MEMORANDUM**  
September 05, 2025

DSD-2025-T14176

**FOR :** **HONORABLE FELIX P. REYES**  
Chairperson

**THE MEMBERS OF THE BOARD OF DIRECTORS**

**RISK MANAGEMENT COMMITTEE**

**THRU :** **HONORABLE MELQUIADES A. ROBLES**  
General Manager

**FROM:** **RAL A. LAVIN**  
Risk Assessment and Review Team


**SUBJECT:** **POWERPOINT PRESENTATION ON RISKS AND ACTION PLAN TO THE RISK MANAGEMENT COMMITTEE**

1. Reference:

- The 17<sup>TH</sup> Regular Board Meeting and 2nd Risk Committee Meeting of CY 2025 are scheduled on 09 September 2025 (Tuesday) at 10:00 A.M. and 2:00 P.M., respectively.
2. The Risk Assessment and Review Team (RART) respectfully submits for the Honorable Board and the Risk Management Committee's review of the attached PowerPoint presentation. This presentation outlines the risks confronting PCSO and details the corresponding mitigation measures undertaken.
  3. Furthermore, the presentation highlights the significant risk items identified from the latest Risk and Opportunity Registers across selected departments and branches.
  4. Respectfully submitted for your consideration,

  
**RAL A. LAVIN**



The background features a gradient from brown at the top to blue at the bottom. On the left side, there are several circular patterns, some resembling gauges or dials with numbers ranging from 150 to 260. There are also some faint, larger circular shapes with arrows pointing in different directions. The overall aesthetic is technical and modern.

# **RISKS AND ITS RESPECTIVE ACTION PLAN**

## RISK AND ACTION PLAN

ISSUE	RISK AND CONSEQUENCE	EXISTING CONTROL	ACTION PLAN	REMARKS
Expiration of IT Security Solutions	<ul style="list-style-type: none"> <li>• Network and System Attacks</li> <li>• Unauthorized Access</li> <li>• Data breach</li> <li>• Reputational Damage</li> </ul>	<ul style="list-style-type: none"> <li>• Monitoring of expiration dates</li> </ul>	<ul style="list-style-type: none"> <li>• Procurement of multi-year contract</li> </ul>	<ul style="list-style-type: none"> <li>• Creation of monitoring dashboard for all software licenses.</li> <li>• Submission of monthly status report to the AGM-MSS to support/facilitate ITSD in monitoring these licenses particularly its acquisition.</li> </ul> <p>Note:</p> <p>These IT security solution include the following:</p> <ul style="list-style-type: none"> <li>• <b>Next-Generation Antivirus (NGAV)</b> (valid until 05/18/2027)</li> <li>• <b>FIREWALL</b> (valid until 12/10/2027)</li> <li>• <b>Runtime Application Self-Protection (RASP)</b> (valid until 01/15/2028)</li> <li>• <b>Cloud Security Posture Management (CSPM)</b> (valid until 01/15/2028)</li> <li>• <b>Managed Security Operations Center (MSOC)</b> (pending procurement)</li> </ul>

ISSUE	RISK AND CONSEQUENCE	EXISTING CONTROL	ACTION PLAN
<ul style="list-style-type: none"> <li>Maintenance of 201 File</li> </ul>	Loss or mishandling of 201 File documents results in data leak and possible violation of Data Privacy Act	Established secure data storage and strict access restrictions to protect sensitive information and safeguard records from unauthorized access, loss or damage;	Develop policy on handling, maintenance and safekeep of 201 Files, to include period of retention and disposal pursuant to CSC guidelines on the same.
<ul style="list-style-type: none"> <li>Payroll Preparation</li> </ul>	Unauthorized data exposure, payroll errors and inaccuracies compromise the integrity of the payroll system	Pro-active review and monitoring of data in the payroll system;	Procurement of HRS Payroll System
<ul style="list-style-type: none"> <li>Training Needs</li> </ul>	Insufficient training needs investment results in skill gaps among employees	Stringent review of requests for trainings to ensure appropriate training needs are met	On-going conduct of Competency-Based Human Resource System  Conduct of Training Needs Analysis

ISSUE	RISK AND CONSEQUENCE	EXISTING CONTROL	ACTION PLAN
<ul style="list-style-type: none"><li>• Failure of bidding of some medicines</li></ul>	Non-delivery of services in providing prescribed medicines to patients, resulting in dissatisfied clientele, reputational damage, and non-compliance with ARTA	Limit utilization of available medicines	Rebidding of medicines

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